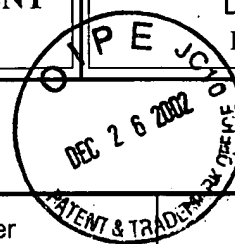


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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
PES-0048

In Re Application Of: **Dristy, et al.**



6-2-8
1/2/03
#9

Serial No.
09/965,675

Filing Date
September 27, 2001

Examiner

Group Art Unit
1745

Title: **APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN AN ELECTROCHEMICAL CELL**

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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Examiner

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**APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE
AREA IN AN ELECTROCHEMICAL CELL**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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Tracy A. Sweatt

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*This certificate may only be used if paying by
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Signature

Pamela J. Curbelo

Registration No. 34,676

Customer No. 23462

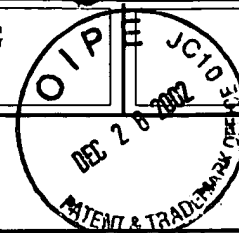
Phone No. (860) 286-2929

Dated: December 20, 2002

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
PES-0048



In Re Application Of: **Dristy, et al.**

Serial No.
09/965,675

Filing Date
September 27, 2001

Examiner

Group Art Unit
1745

Invention: **APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE
ACTIVE AREA IN AN ELECTROCHEMICAL CELL**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

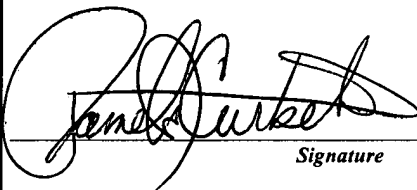
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

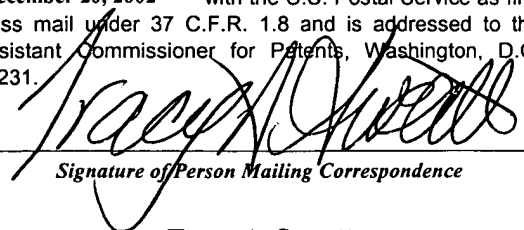

Signature

Dated: **December 20, 2002**

Pamela J. Curbelo
Registration No. 34,676
Customer No. 23462
Phone No. (860) 286-2929

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Tracy A. Sweatt

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CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

PES-0048

Application Number

09/965,675

Applicant(s)

Dristy, et al.

Filing Date

September 27, 2001

Group Art Unit

1745

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,225,654	9/30/1980	Tajima, et al.	429	34	5/7/1979
		5,009,968	4/23/1991	Guthrie, et al.	429	26	9/8/1989
		5,324,565	6/28/1994	Leonida, et al.	428	131	12/17/1992
		2002/0022173	2/21/2002	Molter, et al.	429	37	7/20/2001

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 00/39362	06.07.00	PCT				
		DE 200 08 539	08.04.00	Germany				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search Report. Mailing Date November 10, 2002. 7 pages.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.